



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Donald W. Landry and Juan A. Oliver

Examiner:

Not yet known

Serial No.:

10/789,548

Group Art Unit:

Not yet known

Filed:

February 26, 2004

Docket No.:

30000.2USU1

Title:

A METHOD FOR STABILIZING BLOOD PRESSURE IN HEMODIALYSIS

SUBJECTS

CERTIFICATE UNDER 37 CFR §1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on August 11, 2004

March Marco B. Roman

By: Renato Marco P. Domingo

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b)(3))

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. They are as follows:

- International Publication No. WO84/03564 published September 13, 1984 Exhibit 1
- Aisenbrey, Gary A. et al., "Vascular Effects of Arginine Vasopressin during Fluid Deprivation in the Rat," The Journal of Clinical Investigation, 1981, 67:961-8 Exhibit 2
- Ardaillou, Raymond et al., "Secretion and Catabolism of Antidiuretic Hormone in Renal Failure," Contributions to Nephrology, 1986, 50:46-53 - Exhibit 3
- Argent, Nicholas B. et al., "Metabolic clearance rate of arginine vasopressin in severe chronic renal failure," Clinical Science, 1992, 83:583-7 Exhibit 4
- Baldamus, C. A. et al., "Sympathetic and Hemodynamic Response to Volume Removal during Different Forms of Renal Replacement Therapy," Nephron, 1982, 31:324-32 Exhibit 5

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- Benmansour, Mustapha et al., "Metabolic clearance rate of immunoreactive vasopressin in man," European Journal of Clinical Investigation, 1982, 12:475-80 Exhibit 6
- Blumberg, Alfred et al., "Extracellular Volume in Patients with Chronic Renal Disease Treated for Hypertension by Sodium Restriction," *The Lancet*, 1967, 2:69-73 Exhibit 7
- Caillens, Henri et al., "Relationship between Change in Volemia at Constant Osmolality and Plasma Antidiuretic Hormone," *Mineral and Electrolyte Metabolism*, 1980, 4:161-71 Exhibit 8
- Campese, Vito M. et al., "Mechanisms of autonomic nervous system dysfunction in uremia," Kidney International, 1981, 20:246-53 – Exhibit 9
- Charra, Bernard et al., "Control of Hypertension and Prolonged Survival on Maintenance Hemodialysis," *Nephron*, 1983, 33:96-9 Exhibit 10
- Converse, Jr., Richard L. et al., "Paradoxical Withdrawal of Reflex Vasoconstriction as a
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- Dunn, Fredrick L. et al., "The Role of Blood Osmolality and Volume in Regulating Vasopressin Secretion in the Rat," The Journal of Clinical Investigation, 1973, 52:3212-9 –
 Exhibit 14
- Endou, Kyoko et al., "Hemodynamic Changes during Hemodialysis," *Cardiology*, 1978, 63:175-87 Exhibit 15
- Ewing, D. J. and R. Winney, "Autonomic Function in Patients with Chronic Renal Failure on Intermittent Haemodialysis," Nephron, 1975, 15:424-9 – Exhibit 16

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- Foley, Robert N. et al., "Impact of hypertension on cardiomyopathy, morbidity and mortality in end-stage renal disease," *Kidney International*, 1996, 49:1379-85 Exhibit 18
- Friess, U. et al., "Failure of arginine-vasopressin and other pressor hormones to increase in severe recurrent dialysis hypotension," Nephrology Dialysis Transplantation, 1995, 10:1421-7 Exhibit 19
- Graybiel, Ashton and R. Earle Glendy, "Circulatory Effects Following the Intravenous Administration of Pitressin in Normal Persons and in Patients with Hypertension and Angina Pectoris," The American Heart Journal, 1941, 21:481-9 – Exhibit 20
- Grollman, Arthur and E. M. K. Geiling, "The Cardiovascular and Metabolic Reactions of Man to the Intramuscular Injection of Posterior Pituitary Liquid (Pituitrin), Pitressin and Pitocin," The Journal of Pharmacology & Experimental Therapeutics, 1932, 46:447-60 – Exhibit 21
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- Heintz, B. et al., "Response of vasoactive substances to reduction of blood volume during hemodialysis in hypotensive patients," Clinical Nephrology, 1993, 39:198-204 Exhibit 24
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- Kaliszan, R. et al., "Constrictory Activity of Three New Arginine-Vasopressin (AVP)
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- Lazarus, J. Michael et al., "Hemodialysis," The Kidney, 1996, 5th ed., W.B. Saunders Co.,
 Chapter 56, pp 2424-2506 Exhibit 38
- Leypoldt, John K. et al., "Relationship between volume status and blood pressure during chronic hemodialysis," *Kidney International*, 2002, 61:266-75 Exhibit 39
- Mailloux, Lionel U. and William E. Haley, "Hypertension in the ESRD Patient: Pathophysiology, Therapy, Outcomes, and Future Directions," American Journal of Kidney Diseases, 1998, 32: 705-19 – Exhibit 40
- Mallamaci, F. et al., "Autonomic function in uremic patients treated by hemodialysis or CAPD and in transplant patients," Clinical Nephrology, 1986, 25:175-80 Exhibit 41
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- Nakashima, Yoshiyuki et al., "Localization of Autonomic Nervous System Dysfunction in Dialysis Patients," American Journal of Nephrology, 1987, 7:375-81 – Exhibit 44
- Nakayama, Masaaki et al., "Stimulated Secretion of Arginine Vasopressin during Hemodialysis in Patients with Hemodialysis Hypotension," Nephron, 1998, 79:488-9 –
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- Nies, Alan S. et al., "Hemodialysis hypotension is not the result of uremic peripheral autonomic neuropathy," The Journal of Laboratory and Clinical Medicine, 1979, 94:395-402
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- Padfield, P. L., "Changes of Vasopressin in Hypertension: Cause Or Effect?" *The Lancet*, 1976, 1:1255-7 Exhibit 47
- Pierratos, Andreas et al., "Nocturnal Hemodialysis: Three-Year Experience," Journal of the American Society of Nephrology, 1998, 9:859-68 Exhibit 48

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- Rosansky, S. J. et al., "Effect of osmolar changes on plasma arginine vasopressin (PAVP) in dialysis patients," Clinical Nephrology, 1991, 35:158-64 Exhibit 50
- Rouby, Jean J. et al., "Hemodynamic changes induced by regular hemodialysis and sequential ultrafiltration hemodialysis: A comparative study," *Kidney International*, 17:801-10 Exhibit 51
- Santoro, A. et al., "A Haemodynamic Study of Hypotension During Haemodialysis Using Electrical Bioimpedance Cardiography," Nephrology Dialysis Transplantation, 1990, 5(Suppl 1):147-53 Exhibit 52
- Schwartz, Jeffrey and Ian A. Reid, "Effect of Vasopressin Blockade on Blood Pressure Regulation During Hemorrhage in Conscious Dogs," *Endocrinology*, 1981, 109:1778-80 –
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- Schwartz, Jeffrey et al., "Role of Vasopressin in Blood Pressure Regulation during Adrenal Insufficiency," Endocrinology, 1983, 112:234-8 – Exhibit 54
- Shade, R. E. and L. Share, "Metabolic Clearance of Immunoreactive Vasopressin and Immunoreactive [¹³¹I]iodo Vasopressin in the Hypophysectomized Dog," *Endocrinology*, 1976, 99:1199-1206 – Exhibit 55
- Shaldon, S., "Progress from Haemodialysis," Nephron, 1981, 27:2-6 Exhibit 56
- Shimamoto, Kazuaki et al., "A Study of Plasma Vasopressin in Patients Undergoing Chronic Hemodialysis," Journal of Clinical Endocrinology & Metabolism, 1977, 45:714-20 – Exhibit
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- Shimamoto, Kazuaki et al., "Permeability of Antidiuretic Hormone and Other Hormones
 Through the Dialysis Membrane in Patients Undergoing Chronic Hemodialysis," Journal of
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- Shiota, Jun et al., "Plasma Atrial Natriuretic Peptide during Hemodialysis with or without Fluid Removal," Nephron, 1990, 55:283-6 Exhibit 59

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- Sjöquist, P. O. B. et al., "Effect of a Vasopressin Analogue (N^α-glycyl-glycyl-glycyl-[8-lysine]-vasopressin) on Organ Blood Flow in the Pregnant Guinea Pig," Acta Pharmacologica et Toxicologica, 1977, 40:369-77 Exhibit 60
- Sjöquist, P. O. B. et al., "Actions of a New Vasopressin Analogue (1-deamino-6-carba-[8-arginine]-vasopressin) on Regional Blood Flow in Pregnant Guinea Pigs," Acta Pharmacologica et Toxicologica, 1978, 43:190-5 Exhibit 61
- Smith, Clark W. and Martha F. Ferger, "Synthesis and Some Pharmacological Properties of [3-β-(2-Thienyl)-L-alanine]-8-lysine-vasopressin," Journal of Medicinal Chemistry, 1975, 18:822-5 Exhibit 62
- Spiegel, D. M. et al., "Bioimpedance resistance ratios for the evaluation of dry weight in hemodialysis," Clinical Nephrology, 2000, 53:108-14 Exhibit 63
- Stone, William J. and Raymond M. Hakim, "Therapeutic Options in the Management of Endstage Renal Disease," The Principles And Practice of Nephrology, 1995, Chap. 95, pp 650-4
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- Uusimaa, P. et al., "Neurohumoral responses to a single haemodialysis in chronic renal patients," Acta Physiologica Scandinavica, 1999, 165:25-31 Exhibit 65
- Vertes, Victor et al., "Hypertension in End-Stage Renal Disease," *The New England Journal of Medicine*, 1969, 280:978-81 Exhibit 66
- Wagner, Jr., Henry N. and Eugene Braunwald, "The Pressor Effect of the Antidiuretic Principle of the Posterior Pituitary in Orthostatic Hypotension," The Journal of Clinical Investigation, 1956, 35:1412-8 - Exhibit 67
- Weitzman, Richard E. et al., "Effect of osmolality on arginine vasopressin and renin release after hemorrhage," American Journal of Physiology, 1980, 238:E62-8 – Exhibit 68
- Zerbe, Robert L. et al., "Vasopressin Response to Orthostatic Hypotension," *The American Journal of Medicine*, 1983, 74:265-71 Exhibit 69
- Ziegler, Michael G. et al., "Norepinephrine clearance, chromogranin A and dopamine β hydroxylase in renal failure," Kidney International, 1990, 37:1357-62 Exhibit 70

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This statement should be considered because it is submitted before the mailing date of the first

Office Action on the merits according to 37 C.F.R. §1.97(b)(3). In accordance with 37 C.F.R.

§1.98(a)(2), copies of each document or other information listed on the enclosed Form 1449 are

provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102

and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish

that the reference(s) are not "prior art." Moreover, Applicants do not represent that the

references have been thoroughly reviewed or that any relevance of any portion of a reference is

intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of

M.P.E.P. § 609, it is requested that the Examiner return a copy of the attached Form 1449,

marked as being considered and initialed by the Examiner, to the undersigned with the next

official communication.

No fee is deemed necessary in connection with the filing of this Information Disclosure

Statement. However, if any additional fee is required, authorization is hereby given to charge the

amount of any such fee, or credit any overpayment, to Deposit Account No. 50-0306.

Respectfully submitted,

Sarah B. Adriano

Registration No. 34,470

SaraLynn Mandel

Registration No. 31,853

Mandel & Adriano

55 S. Lake Avenue, Suite 710

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Donald W. Landry and Juan A. Oliver

Serial No.:

10/789,548

Filed:

February 26, 2004

Docket:

30000.2USU1

Title:

A METHOD FOR STABILIZING BLOOD PRESSURE IN HEMODIALYSIS SUBJECTS

CERTIFICATE UNDER 37 CFR §1.8

I hereby certify that this paper or fee is being deposited with the United States Postal as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on August 11, 2004.

By: I Renato Marco P. Domingo

55 S. Lake Avenue, Suite 710 Pasadena, California 91101 August 11, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

We are transmitting herewith the attached:

Transmittal sheet, in duplicate, containing Certificate under 37 CFR §1.8

Information Disclosure Statement (37 C.F.R. §1.97(b)(3)) (8 pages)

Form 1449 (Information Disclosure Statement) (5 sheets)

Exhibits 1-70 (References)

Return postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0306. A duplicate of this sheet is enclosed.

MANDEL & ADRIANO

55 S. Lake Avenue, Suite 710 Pasadena, California 91101 (626) 395-7801 Name: Sarah B. Adriano

Reg. No.: 34,470 Customer No. 26,941



FORM 1449*

INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION

Docket Number 30000.2USU1 **Application Number**

Applicants

Donald W. Landry and Juan A. Oliver

Filing Date

Group Art Unit

10/789,548

(Use several sheets if necessary)

February 26, 2004

Not yet known

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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FOREIGN PATENT DOCUMENTS						
DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
					YES	NO
W084/03564 (Exhibit 1)	09/13/84	PCT				Х

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
Aisenbrey, Gary A. et al., "Vascular Effects of Arginine Vasopressin during Fluid Deprivation in the Rat," The Journal of Clinical Investigation, 1981, 67:961-8 (Exhibit 2)
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EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

^{*}Substitute Disclosure Statement Form (PTO-1449)

Alle 1 9 2004 60		
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FORM 1449*	AUG 1 3 2004	Docket Number	Application Number	
	THE SALES	30000.2USU1	10/789,548	
INFORMA ⁻	TION DISCLOSURD STATEMENT	Applicants		
IN AN APPLICATION		Donald W. Landry and Juan A. Oliver		
		Filing Date	Group Art Unit	
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FORM 1449*

30000.2USU1 **Applicants**

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